Atty. Dkt. No. 081356-0156 IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants:

Hideaki NOMURA et al

Title:

POWDERY PREPARATION FOR MUCOSAL ADMINISTRATION

CONTAINING POLYMERIC MEDICINE

Appl. No.:

09/720,970

Filing Date:

01/03/2001

CPA Filed:

06/24/2003

Examiner:

Sharmila S. Gollamudi

Art Unit:

1616

CONTINUED PROSECUTION APPLICATION (CPA) REQUEST TRANSMITTAL

Mail Stop CPA Commissioner for Patents PO Box 1450

Alexandria, Virginia 22313-1450

Sir:

This is a request under 37 C.F.R. § 1.53(d), Continued Prosecution Application (CPA), for a:

[X] Continuation

[] Divisional

application of the above-identified prior nonprovisional application of:

Hideaki Nomura Yosuke Ueki

in which no payment of issue fee, abandonment, or termination of proceedings has occurred. Priority to the above-identified prior application is hereby claimed under 35 U.S.C. § 120 for this CPA.

]	Applicant	claims	small	entity	status	under	37	CFR	1.27	
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[] Please enter the unentered amendment previously filed on ___ in the prior application.

Please enter the enclosed Preliminary Amendment (12 pages).

Enclosed is:

[X] Declaration Under 37 CFR § 1.132 (3 pages). 06/26/2003 AUDNDAF1 00000038 09720970

03 FC:1203 002.1036072.1

750.00 OP 90.00 OP 280.00 GP

-1-

The filing fee is calculated below:

	Claims as Amended		Previously Paid For		Extra Claims		Rate		Fee Totals
Basic Fee							\$750.00		\$750.00
Total Claims:	25	-	20	=	5	×	\$18.00	=	\$90.00
Independents:	1		3	=	0	×	\$84.00	=	\$0.00
If any Multiple	Dependent C	Claim(s) present:			+	\$280.00	=	\$280.00
							SUBTOTAL:	=	\$1120.00
[]	Small Entity Fees Apply (subtract ½ of above):								
	TOTAL FILING FEE:								\$1120.00

- [] Applicant requests suspension of action under 37 CFR 1.103(b) (fee under 37 CFR 1.17(i) enclosed).
- [X] A check in the amount of \$1120.00 to cover the filing fee is enclosed.
- [] The required filing fees are not enclosed but will be submitted in response to the Notice to File Missing Parts of Application.
- [X] The Commissioner is hereby authorized to charge any additional fees which may be required regarding this application under 37 C.F.R. §§ 1.16-1.17, or credit any overpayment, to Deposit Account No. 19-0741. Should no proper payment be enclosed herewith, as by a check being in the wrong amount, unsigned, post-dated, otherwise improper or informal or even entirely missing, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 19-0741.

Please direct all correspondence to the undersigned attorney or agent at the address indicated below.

Respectfully submitted,

Stephen A. Bent

Attorney for Applicants Registration No. 29,768

Date 24 June 2003

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Application Serial No.: 09/720,970

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re patent application of

Hideaki NOMURA et al.

Serial No.: 09/720,970

Filed: January 3, 2001

Group Art Unit: 1616

Examiner: Sharmila S. Golla Mudi

: POWDERY PREPARATION FOR MUCOSAL

ADMINISTRATION CONTAINING POLYMERIC MEDICINE

PRELIMINARY AMENDMENT

Commissioner for Patents Washington, D.C. 20231

Sir:

Prior to examination on the merits, please enter and consider the following amendment. Should any fee be deficient or absent, please consider this paragraph to be a request and authorization to charge the appropriate fee under 37 C.F.R. §§ 1.16 to 1.18 to Account No. 19-0741.

Please amend the application as follows below. The amendments comply with the revised amendment format permitted by the Notice from the Office of Patent Legal Administration of the U.S. Patent and Trademark Office dated February 10, 2003. Thus, the provisions of 37 C.F.R. § 1.121(a), (b), (c) and (d) are waived for amendments made to the claims, specification and drawings of this application.

The amendment to the claims begins at page 2.

The Remarks section begins at page 4.